

Appendix 1 Summary of Final Internal Audit Reports Issued 01.11.2013 - 19.01.2014

<u>Company</u>	<u>Sub-Function</u>	<u>Name</u>	<u>Final Issue</u>	<u>Audit Opinion</u>	<u>HI Recommendation</u>
Children & Young Peoples Service	Governance	Excellent Education in Leicestershire	04-Dec-13	Substantial	No
Consolidated Risk	Governance	Risk management - Framework design & governance	12-Dec-13	Substantial	No
Consolidated Risk	Governance	Traded Services - Framework design & governance	16-Jan-14	Substantial	No
Consolidated Risk	Operational	Project management - Framework design & governance	07-Jan-14	Substantial	No
Corporate Effects	Procurement	Commissioning and Contract Boards	14-Jan-14	Substantial	No
Corporate Resources	Governance	Teachers Pension Scheme - EMSS control functions	26-Nov-13	Substantial	No
Corporate Resources	Governance	Trading Income Operational Delivery - Central Print	16-Jan-14	Substantial	No
Corporate Resources	Financial Liabilities	Young Peoples Learning Agency - Schools' use of funds	12-Nov-13	Substantial	No
Corporate Resources	Payroll	Teachers Pension Scheme - End of Year Certificate	13-Nov-13	Partial	No
Environment & Transport	Operational	Risk Management - Operational delivery	11-Dec-13	Substantial	No
Environment & Transport	Operational	Notice Processing Unit	06-Jan-14	Substantial	No
Environment & Transport	Operational	SEN and Adult Social Care Transport	10-Jan-14	Substantial	No
Environment & Transport	Operational	Vehicle & Equipment Safety Checks	06-Nov-13	Other Complete	No
Environment & Transport	Procurement	Concessionary Travel - Smart Cards	12-Nov-13	Substantial	No
Environment & Transport	Precepts	Bus Operators' Grant (Apr 13' - Sept 13')	20-Dec-13	Substantial	No
Environment & Transport	Advice	Waste Trac System Replacement	11-Dec-13	Substantial	No
Public Health	Developments	Transition to County Council systems	16-Dec-13	Substantial	No